



ARC

Risk and Compliance

"THE AML SPECIALISTS"

SERVICE HIGHLIGHT

STAFFING &
OUTSOURCING

AML Staffing and Outsource Solutions



We Specialize in Improving Your Investigation Capabilities

Whether you're looking for additional support on-site or off-site, we have the access, network, infrastructure and experience to find you the skilled AML professionals you're looking for to augment your teams. Depending on the requirements of your institution and budgetary discretion, we can provide you staff as necessary or augment your AML case review and management team, in whole or in part. In both cases, you will have a fully AML specialized company here to support you.

WHAT IS RIGHT FOR YOU:

1. Full AML Program Outsource
2. Hybrid AML Program Outsource
3. AML Staff Augmentation

BENEFITS OF USING US:

- We're specially designed to solve your immediate challenges and eliminate turnover frustrations
- We can improve both the quality of the staff and their work product
- We will use industry standard AML policies and procedures



AML Staff Augmentation

As the leading AML specialized consulting company, our network of AML professionals is extensive. We will provide you only thoroughly vetted and completely qualified staff to augment your team whenever you are in need. Whether you need full-time W2 staff or short-term 1099 consultants only through peak periods, we can help. We can also offer you geo-flexibility so they can be made available on your site or on our site. In addition, when our candidates are placed on your team they come with the full company behind them as an additional resource to you and your team. In the end, you decrease your challenges with staff turnover, and receive a truly experienced AML resource.

What we do:

- Provide you vetted and qualified staff to augment your team
- Only AML specialized resources
- Our resources have the full company thought leadership behind them
- Geo-flexibility – available on your site or our site

What it does for you:

- Saves you the headaches of staff turnover
- Saves you time in trying to search for the right candidate
- Provides you skilled AML specialized staff
- AML specialized company available for additional support

We can provide all types of staff requirements. From Chief Compliance Officers and BSA/AML/OFAC Officers to Case Analysts and Investigators. The difference in our candidates is that they're already trained in this space, so they're experienced, skilled professionals.

Hybrid AML Program Outsource

From time to time, you may find yourself where yourself in a situation where you need additional case analysts to assist you with your case log, but don't have the space on-site. Our Hybrid AML Program Outsource was designed to assist you by providing additional case analysts off-site. They will connect to your AML system via secure connection and follow your policies and procedures to review and manage cases. This model will help you decrease long-term fixed costs, increase your efficiency, and avoid the headaches of staff turnover without increasing AML risk.

What we do:

- Augment your in-house case analysts to review and manage cases
- Our staff on our site saving you both space and overhead costs
- Secure connection to your existing software solution
- Use your policies and procedures as the basis for decisions

What it does for you:

- Decrease operational costs without increasing AML risk
- Save you the headaches of staff turnover
- Increases your case review and management efficiency
- Reduces backlog
- Flattens AML ebbs and flows





Full AML Program Outsource

What happens when you don't have the staff to manage your BSA program in-house? We can help you with that. We will work with you to understand and maintain the standards of your policies and procedures and engage a new appropriate software vendor. Our team would be completely off-site so we don't take up additional desk space at your office, and 100% of case review would be done completely by our team, saving you time. Further, we would demand the highest requirements for quality assurance from our staff and accomplish this by assigning an experienced lead case analyst.

What we do:

- 100% case review and managed by our staff
- Our staff on our site saving you both space and overhead costs
- Engage a new software solution optimized for AML Program Outsource
- Use our policies and procedures as the basis for decision-making



What it does for you:

- Faster ROI timeline with no additional AML risk
- Save you the headaches of staff turnover
- Increase quality standards
- Includes quality assurance
- Predictable cost model

About ARC Risk and Compliance

ARC Risk and Compliance is a specialized consulting company that specializes in anti-money laundering compliance and the technology for AML compliance programs. This specialization includes BSA/transaction monitoring, OFAC/sanctions screening, KYC/CDD/EDD, and FinCEN 314(a). We are "The AML Specialists".

We can bridge the communication gap between what compliance needs and IT can support with the system they have. We believe that in order to bridge the gap between compliance and IT, the expert needs to be able to communicate to both departments, have knowledge and experience in both, and provide experienced solutions. We've been able to combine that knowledge and experience for a more thorough solution addressing both the compliance and IT requirements. Our approach ensures a better rate of success than non-specialized companies.

Many of ARC Risk and Compliance's team members were leaders in the initial design, development, and deployment of many of the leading AML software in use today. Our team has a deep compliance background that can assist banks with their regulatory and compliance challenges.

Every customer is important to us and we will provide the best service possible to all clients. ARC Risk and Compliance is a certified veteran-owned small business and has a large network of professionals and alliance partners to support the ever growing demands within the financial industry.

Some of Our Services

Validation & Review Services

- AML Model Validation
- AML Gap Analysis
- AML Procedures: Review and Updates

Assessing, Analyzing, & Tuning Services

- AML Risk Assessment
- Rules/Risk Rating/Profiling: Statistical Data Analysis
- Tuning and False Positive Exercise

Remediation Services

- Data Mining: Analysis and Correction
- Look-Back Services
- Regulatory Remediation Services

Regulatory Specific Services

- NYS DFS 504 Pre-Certification Service
- NYS DFS Part 500 Cybersecurity Screening & Compliance Check

Staffing & Outsource

- AML Program Outsource
- Staff Augmentation

Custom Development

- Custom Software Development



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